

Coupled Nonlinear Thermoelectric Transport in N-QD-SC Junctions

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Collaborators: **Rosa Lopez, David Sanchez**



- **Thermoelectric Effects**

- Nanoscale thermoelectricity
- Nonlinear thermoelectric transport

- **Superconducting Material**

- Fundamental and practical interests
- Thermoelectric effects in superconductors

- **Normal – Quantum Dot – Superconductor Hybrid Junctions**

Hwang, Lopez, and Sanchez, Phys. Rev. B **91**, 104518 (2015).

Seebeck Effect

$$I = G\Delta V + L\Delta T$$

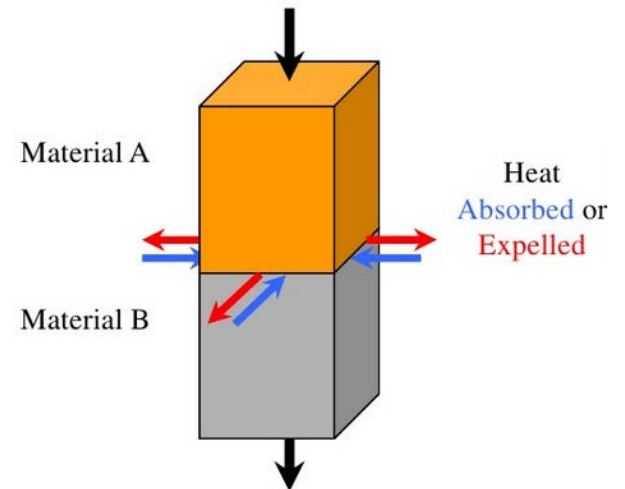
Thermoelectric Effect

$$\Delta T \iff \Delta V$$



Electric Current

$$J = R\Delta V + K\Delta T$$



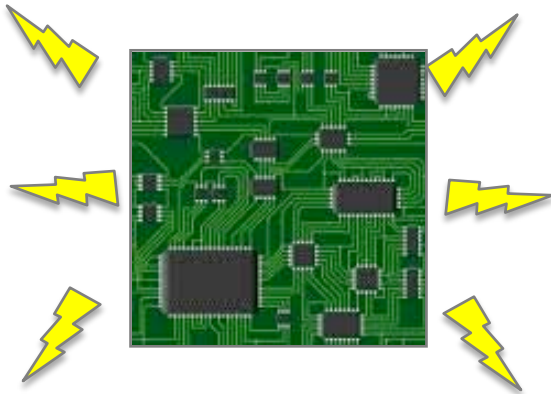
Peltier Effect

$$\mu_L = E_F + \frac{e\Delta V}{2} \quad \mu_L, T_L \quad \text{Quantum System} \quad \mu_R, T_R \quad \mu_R = E_F - \frac{e\Delta V}{2}$$

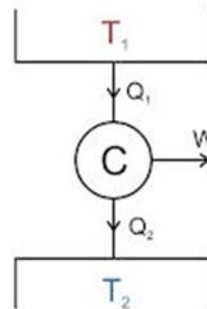
Heat-to-Electricity Conversion : Seebeck Coefficient

$$I = G\Delta V + L\Delta T \quad S = - \left. \frac{\Delta V}{\Delta T} \right|_{I=0} = \frac{L}{G}$$

Control the circuit
heat generation



High-efficiency
heat engine



Transformative
energy conversion
technology



- Breakdown of reciprocity relations
 - Matthews, Battista, Sanchez, Samuelsson, and Linke, Phys. Rev. B **90**, 165428 (2014).
 - Hwang, Sanchez, Lee, and Lopez, New J. Phys. **15**, 105012 (2013).
- Rectification, Departures from Wiedemann-Franz Law, Nonlinear Seebeck and Peltier effects
 - D. Sanchez and R. Lopez, Phys. Rev. Lett. **110**, 026804 (2013).
 - R. Lopez and D. Sanchez, Phys. Rev. B **88**, 045129 (2013).
- Spin-polarized charge and heat currents in topological insulators
 - Hwang, Lopez, Lee, and Sanchez, Phys. Rev. B **90**, 115301 (2014).

The Higgs mode in disordered superconductors close to a quantum phase transition

Daniel Sherman^{1,2†}, Uwe S. Pracht², Boris Gorshunov^{2,3,4}, Shachaf Poran¹, John Jesudasan⁵, Madhavi Chand⁵, Pratap Raychaudhuri⁵, Mason Swanson⁶, Nandini Trivedi⁶, Assa Auerbach⁷, Marc Scheffler², Aviad Frydman^{1*} and Martin Dressel²

Superconducting spintronics

Jacob Linder^{1*} and Jason W. A. Robinson^{2*}

REVIEW

12 FEBRUARY 2015 | VOL 518 | NATURE | 179

doi:10.1038/nature14165

From quantum matter to high-temperature superconductivity in copper oxides

B. Keimer¹, S. A. Kivelson², M. R. Norman³, S. Uchida⁴ & J. Zaanen⁵

NATURE | NEWS

Long-range Cooper pair splitter with high entanglement production rate

Wei Chen¹, D. N. Shi¹ & D. Y. Xing^{2,3}

5 January 2015

Superconductivity record breaks under pressure

Everyday compound reported to conduct electricity without resistance at a record-high temperature, outstripping more exotic materials.

Edwin Cartlidge

12 December 2014

ARTICLE

Received 4 Aug 2014 | Accepted 4 Feb 2015 | Published 11 Mar 2015

DOI: 10.1038/ncomms7518

Berry phases and the intrinsic thermal Hall effect in high-temperature cuprate superconductors

Vladimir Cvetkovic¹ & Oskar Vafek^{1,2}

Nobel Lecture: On superconductivity and superfluidity (what I have and have not managed to do) as well as on the “physical minimum” at the beginning of the XXI century*

Vitaly L. Ginzburg[†]

P.N. Lebedev Physics Institute, Russian Academy of Sciences, 119991 Moscow, Russian Federation

(Published 2 December 2004)

ON THE THERMOELECTRIC PHENOMENA IN SUPERCONDUCTORS

By V. L. GINSBURG,

Lebedev Physical Institute, Academy of Sciences of the USSR

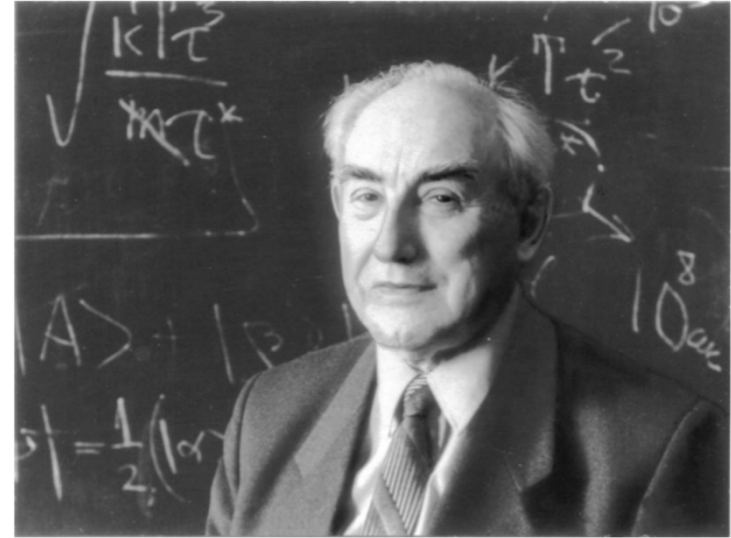
(Received November 23, 1943)

Thermoelectric properties of superconductors are discussed. A normal current j^n should appear in superconductors having temperature gradients; in isotropic superconductors this current is compensated by a superconducting current j^s and therefore cannot be observed. In superconducting crystals, on the contrary, the density of the resulting current $j = j^n + j^s$ does not vanish and, generally speaking, their magnetic field should enable one to detect the thermal current.

IV. THERMOELECTRIC PHENOMENA IN THE SUPERCONDUCTING STATE

The first attempt to observe thermoelectric phenomena and, specifically, thermoelectric current or thermal electromotive force in a nonuniformly heated circuit of two superconductors, to my knowledge, was made by Meissner (1927). He arrived at the conclusion that the thermoelectric effect is completely absent from superconductors. When I took an interest in this problem in 1943, this viewpoint was generally accepted [see, for instance, Burton *et al.* (1940) and especially the first and later editions of the book *Superconductivity* by Shoenberg (1965)]. However, I have encountered this assertion more recently as well. Nonetheless, this conclusion is erroneous, as I pointed out (Ginsburg, 1944b) as far back as 1944 (see Fig. 6).

The point is that the superconducting state can carry, apart from a superconducting current \mathbf{j}_s , a normal current \mathbf{j}_n as well. This normal current is carried by “normal electrons,” i.e., electron- or hole-type quasiparticles present in the metal in both the normal and superconducting states. In the superconducting state, the density of such normal quasiparticles depends strongly on the temperature and, generally, tends to zero as $T \rightarrow 0$.



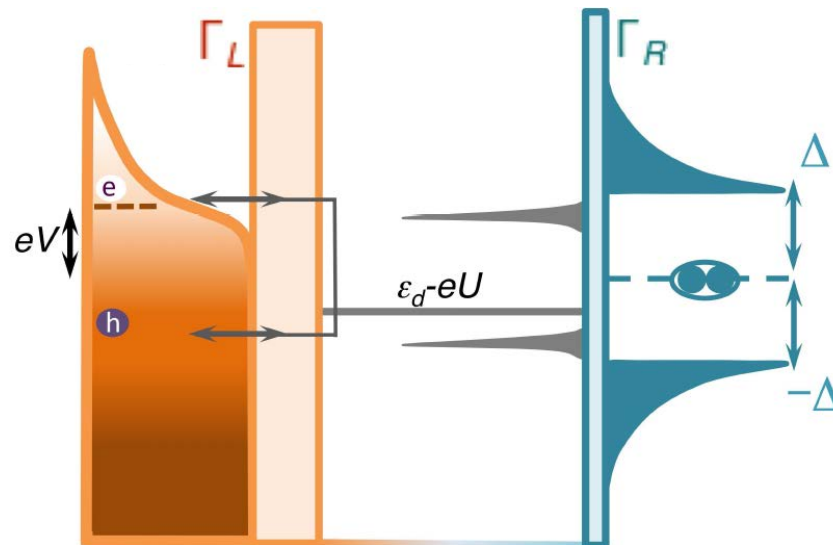
$$\mathcal{H} = \mathcal{H}_L + \mathcal{H}_R + \mathcal{H}_D + \mathcal{H}_T$$

$$\mathcal{H}_L = \sum_{k\sigma} \varepsilon_{Lk} c_{Lk\sigma}^\dagger c_{Lk\sigma}$$

$$\mathcal{H}_D = \sum_{\sigma} (\varepsilon_d - eU) d_{\sigma}^\dagger d_{\sigma}$$

$$\mathcal{H}_R = \sum_{p\sigma} \varepsilon_{Rp} c_{Rp\sigma}^\dagger c_{Rp\sigma} + \sum_p [\Delta c_{R,-p\uparrow}^\dagger c_{Rp\downarrow}^\dagger + H.c.]$$

$$\mathcal{H}_T = \sum_{k\sigma} t_L c_{Lk\sigma}^\dagger d_{\sigma} + \sum_{p\sigma} t_R e^{\frac{i}{\hbar} eV_R t} c_{Rp\sigma}^\dagger d_{\sigma} + H.c.$$



Current

$$I = -e \langle \dot{N}_L(t) \rangle = -(ie/\hbar) \langle [\mathcal{H}, N_L] \rangle$$

$$N_L = \sum_{k\sigma} c_{Lk\sigma}^\dagger c_{Lk\sigma}$$

Finite-frequency noise in a quantum dot with normal and superconducting leadsStephanie Droste,¹ Janine Splettstoesser,² and Michele Governale¹nature
nanotechnology

ARTICLES

PUBLISHED ONLINE: 15 DECEMBER 2013 | DOI: 10.1038/NNANO.2013.267

PHYSICAL REVIEW B **89**, 045422 (2014)**Nonlocal spectroscopy of Andreev bound states**J. Schindele,^{*} A. Baumgartner, R. Maurand, M. Weiss, and C. Schönenberger**Spin-resolved Andreev levels and parity crossings in hybrid superconductor–semiconductor nanostructures**Eduardo J. H. Lee¹, Xiaocheng Jiang², Manuel Houzet¹, Ramón Aguado³, Charles M. Lieber² and Silvano De Franceschi^{1*}PRL **104**, 076805 (2010)

PHYSICAL REVIEW LETTERS

week ending
19 FEBRUARY 2010nature
physics

LETTERS

PUBLISHED ONLINE: 14 NOVEMBER 2010 | DOI: 10.1038/NPHYS1811

Andreev bound states in supercurrent-carrying carbon nanotubes revealedJ-D. Pillet¹, C. H. L. Quay^{1†}, P. Morfin², C. Bena^{3,4}, A. Levy Yeyati⁵ and P. Joyez^{1*}**Tunneling Spectroscopy of Andreev Energy Levels in a Quantum Dot Coupled to a Superconductor**R. S. Deacon,^{1,*} Y. Tanaka,² A. Oiwa,^{1,3,4} R. Sakano,¹ K. Yoshida,³ K. Shibata,⁵ K. Hirakawa,^{4,5,6} and S. Tarucha^{1,3,6,7}PHYSICAL REVIEW B **81**, 121308(R) (2010)**Kondo-enhanced Andreev transport in single self-assembled InAs quantum dots contacted with normal and superconducting leads**R. S. Deacon,^{1,*} Y. Tanaka,² A. Oiwa,^{1,3,4} R. Sakano,¹ K. Yoshida,³ K. Shibata,⁵ K. Hirakawa,^{4,5,6} and S. Tarucha^{1,3,6,7}week ending
18 JUNE 2010PRL **104**, 246804 (2010)

PHYSICAL REVIEW LETTERS

Ferromagnetic Proximity Effect in a Ferromagnet–Quantum-Dot–Superconductor DeviceL. Hofstetter,¹ A. Geresdi,² M. Aagesen,³ J. Nygård,³ C. Schönenberger,¹ and S. Csonka^{1,2,*}

VOLUME 87, NUMBER 17

PHYSICAL REVIEW LETTERS

22 OCTOBER 2001

Excess Kondo Resonance in a Quantum Dot Device with Normal and Superconducting Leads: The Physics of Andreev-Normal Co-tunnelingQing-feng Sun,¹ Hong Guo,¹ and Tsung-han Lin²

Andreev and Quasiparticle Current

$$I = I_A + I_Q$$

$$I_A = \frac{2e}{h} \int d\varepsilon T_A(\varepsilon) [f_L(\varepsilon - eV) - f_L(\varepsilon + eV)]$$

$$I_Q = \frac{2e}{h} \int d\varepsilon T_Q(\varepsilon) [f_L(\varepsilon - eV) - f_R(\varepsilon)]$$

Andreev and Quasiparticle Transmission

$$T_A(\varepsilon) = \Gamma_L^2 |G_{12}^r(\varepsilon)|^2$$

$$\tilde{\Gamma}_R = \Gamma_R \Theta(|\varepsilon| - \Delta) |\varepsilon| / \sqrt{\varepsilon^2 - \Delta^2}$$

$$T_Q(\varepsilon) = \Gamma_L \tilde{\Gamma}_R (|G_{11}^r|^2 + |G_{12}^r|^2 - \frac{2\Delta}{|\varepsilon|} \text{Re}[G_{11}^r (G_{12}^r)^*])$$

Nambu Space Green's Functions

Transmission functions depend on U

$$G_{11}^r(\varepsilon) = \left[\varepsilon - \varepsilon_d + eU + \frac{i\Gamma_L}{2} + \frac{i\Gamma_R}{2} \frac{|\varepsilon|}{\sqrt{\varepsilon^2 - \Delta^2}} + \frac{\Gamma_R^2 \Delta^2}{4(\varepsilon^2 - \Delta^2)} A^r(\varepsilon) \right]^{-1}$$

$$G_{12}^r(\varepsilon) = G_{11}^r(\varepsilon) \frac{i\Gamma_R \Delta}{2\sqrt{\varepsilon^2 - \Delta^2}} A^r(\varepsilon) \quad A^r(\varepsilon) = \left[\varepsilon + \varepsilon_d - eU + \frac{i\Gamma_L}{2} + \frac{i\Gamma_R}{2} \frac{|\varepsilon|}{\sqrt{\varepsilon^2 - \Delta^2}} \right]^{-1}$$

Scattering Theory of Nonlinear Thermoelectric Transport

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(Received 7 August 2012; revised manuscript received 12 December 2012; published 11 January 2013)

PHYSICAL REVIEW B **88**, 045129 (2013)

Nonlinear heat transport in mesoscopic conductors: Rectification, Peltier effect, and Wiedemann-Franz law

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Institut de Física Interdisciplinària i de Sistemes Complexos IFISC (UIB-CSIC), E-07122 Palma de Mallorca, Spain

and Departament de Física, Universitat de les Illes Balears, E-07122 Palma de Mallorca, Spain

(Received 20 December 2012; published 25 July 2013)

PHYSICAL REVIEW B, VOLUME 64, 104508

Nonlinear transport theory for hybrid normal-superconducting devices

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(Received 13 March 2000; revised manuscript received 17 April 2001; published 21 August 2001)

$$I = G_0 V + G_1 V^2 + L_0 \theta + L_1 \theta^2 + M_1 V \theta$$

Nonlinear transport coefficients G_1 , L_1 , M_1
depend on the electrostatic potential U

Cross thermoelectric coupling M_1
plays an important role in subgap
transport

Self-consistent Determination of U : Poisson's Equation

$$\delta U(\mathbf{r}) = \sum_{\alpha} [u_{\alpha}(\mathbf{r})V_{\alpha} + z_{\alpha}(\mathbf{r})\theta_{\alpha}]$$

$$\nabla^2 \delta U(\mathbf{r}) = -4\pi \delta \rho$$

Characteristic Potentials

$$u_{\alpha} = (\partial U / \partial V_{\alpha})_{\text{eq}} \quad z_{\alpha} = (\partial U / \partial \theta_{\alpha})_{\text{eq}}$$

Charge Density Distribution

$$\delta \rho = \rho - \rho_{\text{eq}} = i \int d\varepsilon [G_{11}^<(\varepsilon) - G_{11,\text{eq}}^<(\varepsilon)]$$

$$G_{11}^<(\varepsilon) = \frac{i\Gamma_L}{2\pi} \left[|G_{11}^r|^2 f_L(\varepsilon - eV) + |G_{12}^r|^2 f_L(\varepsilon + eV) \right] \\ + \frac{i\tilde{\Gamma}_R}{2\pi} f_R(\varepsilon) (|G_{11}^r|^2 + |G_{12}^r|^2 - \frac{2\Delta}{|\varepsilon|} \text{Re}[G_{11}^r (G_{12}^r)^*])$$

Scattering Theory of Nonlinear Thermoelectric Transport

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(Received 7 August 2012; revised manuscript received 12 December 2012; published 11 January 2013)

Charge Density Distribution

$$\delta\rho = \rho_{\text{inj}} + \rho_{\text{scr}} = \sum_{\alpha} (D_{\alpha} V_{\alpha} + \tilde{D}_{\alpha} \theta_{\alpha}) - \Pi \delta U$$

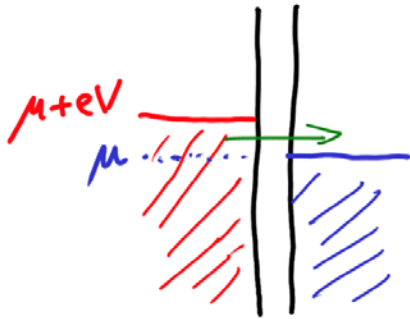
Particle and Entropic Injectivities

$$D_{\alpha} = (\partial\rho/\partial V_{\alpha})_{\text{eq}} \quad \tilde{D}_{\alpha} = (\partial\rho/\partial\theta_{\alpha})_{\text{eq}}$$

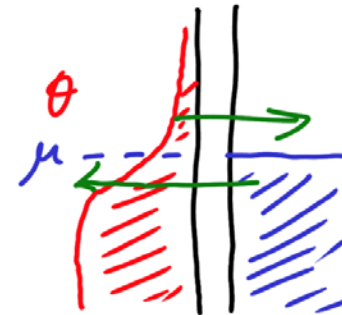
Lindhard Function : Screening Effect

$$\Pi = -(\delta\rho/\delta U)_{\text{eq}}$$

Voltage bias



Thermal bias

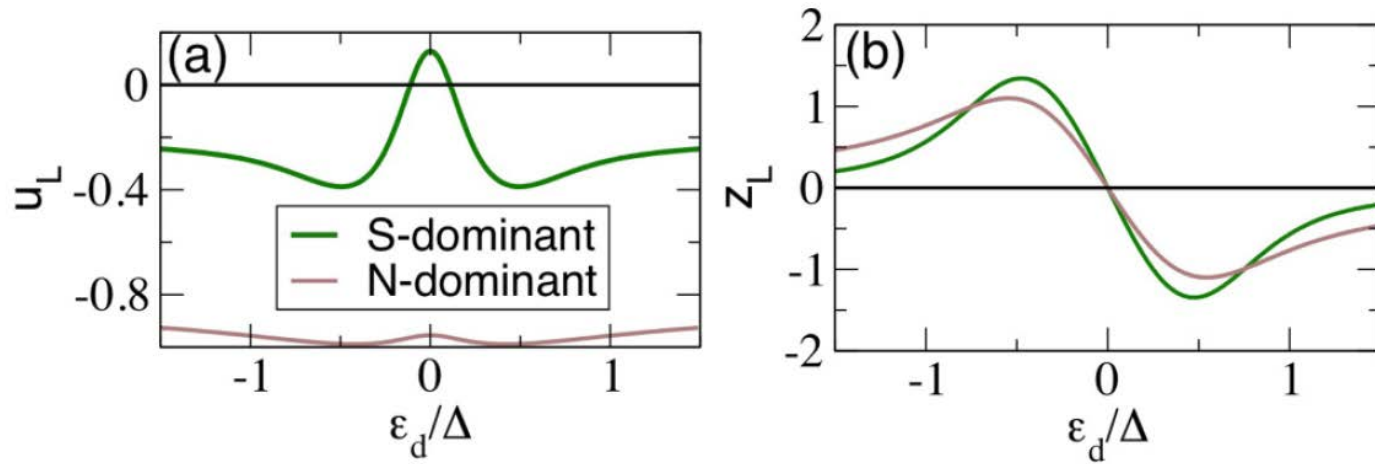


Particle Injectivity

$$v_{\alpha}^p(E, \sigma) = (2\pi i)^{-1} \sum_{\beta} \text{Tr} \left[s_{\beta\alpha}^{\dagger} \frac{ds_{\beta\alpha}}{dE} \right]$$

Entropic Injectivity

$$v_{\alpha}^e(E, \sigma) = (2\pi i)^{-1} \sum_{\beta} \text{Tr} \left[\frac{E - E_F}{T} s_{\beta\alpha}^{\dagger} \frac{ds_{\beta\alpha}}{dE} \right]$$



$$\delta U = \sum_{\alpha} [u_{\alpha} V_{\alpha} + z_{\alpha} \theta_{\alpha}]$$

$$u_L = \frac{-e\Gamma_L}{C + \Pi} \int \frac{d\varepsilon}{2\pi} (-\partial_{\varepsilon} f) (|G_{11}^r|^2 - |G_{12}^r|^2)_{\text{eq}}$$

$$z_L = \frac{-\Gamma_L}{C + \Pi} \int \frac{d\varepsilon}{2\pi} \frac{\varepsilon - E_F}{T} (-\partial_{\varepsilon} f) (|G_{11}^r|^2 + |G_{12}^r|^2)_{\text{eq}}$$

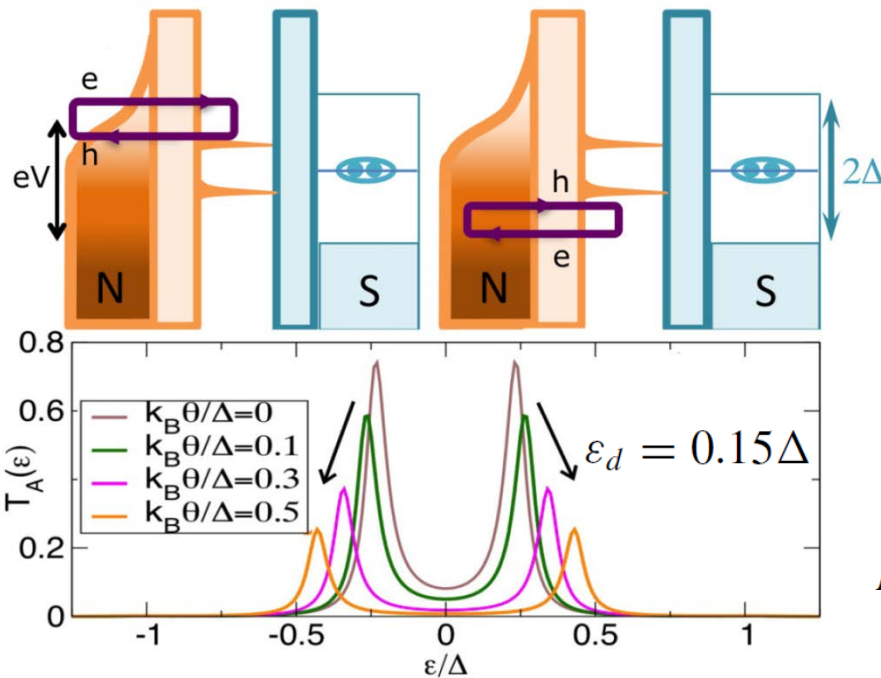
$$\Pi^p = -\left. \frac{\delta\rho^p}{\delta U} \right|_{\text{eq}} = \int \frac{d\varepsilon}{2\pi} f_{\text{eq}}(\varepsilon) \left[\Gamma_L \frac{\delta|G_{11}^r(\varepsilon)|^2}{\delta U} + \tilde{\Gamma}_R \left(\frac{\delta|G_{11}^r(\varepsilon)|^2}{\delta U} - \frac{\Delta}{|\varepsilon|} \frac{\delta}{\delta U} G_{11}^r [G_{12}^r]^* \right) \right]_{\text{eq}}$$

$$\Pi^h = -\left. \frac{\delta\rho^h}{\delta U} \right|_{\text{eq}} = \int \frac{d\varepsilon}{2\pi} f_{\text{eq}}(\varepsilon) \left[\Gamma_L \frac{\delta|G_{12}^r(\varepsilon)|^2}{\delta U} + \tilde{\Gamma}_R \left(\frac{\delta|G_{12}^r(\varepsilon)|^2}{\delta U} - \frac{\Delta}{|\varepsilon|} \frac{\delta}{\delta U} G_{12}^r [G_{11}^r]^* \right) \right]_{\text{eq}}$$

$$I_A = \frac{2e}{h} \int d\varepsilon T_A(\varepsilon) [f_L(\varepsilon - eV) - f_L(\varepsilon + eV)]$$

$$V = 0$$

$$f_L(\varepsilon) - [1 - f_L(-\varepsilon)] = 0 \implies I_A = 0$$



$$I_A = G_0 V + G_1 V^2 + M_1 V \theta$$

$$G_0 = \frac{4e^2}{h} \int d\varepsilon (-\partial_\varepsilon f) T_{A,eq}$$

$$G_1 = \frac{4e^2}{h} \int d\varepsilon (-\partial_\varepsilon f) u_L \left. \frac{dT_A}{dU} \right|_{eq}$$

$$M_1 = \frac{4e^2}{h} \int d\varepsilon (-\partial_\varepsilon f) \left[z_L \frac{dT_A}{dU} + \frac{\varepsilon - E_F}{T} \frac{\partial T_A}{\partial \varepsilon} \right]_{eq}$$

Cross thermoelectric coupling in normal-superconductor quantum dots

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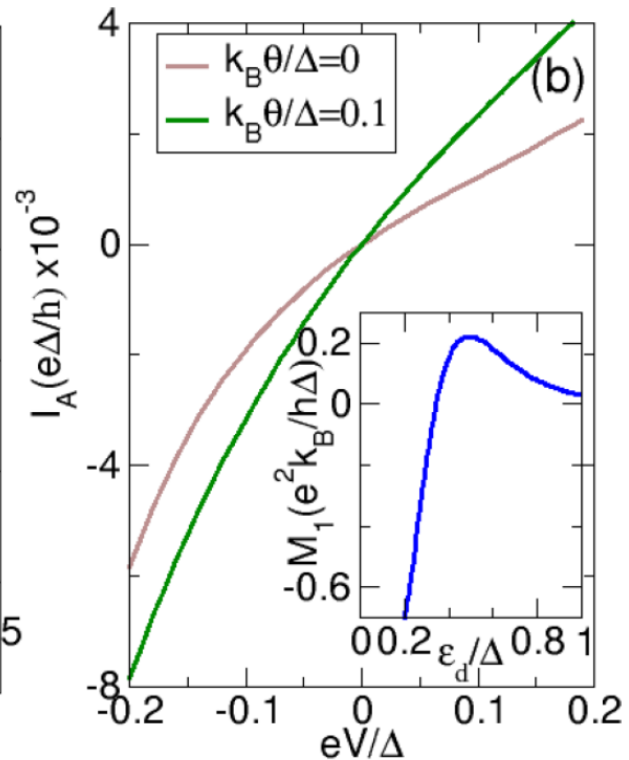
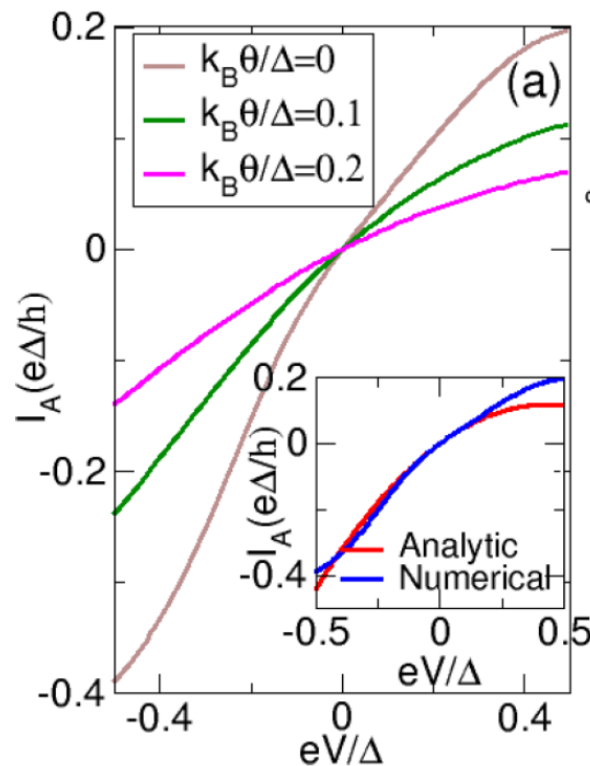
(Received 12 December 2014; revised manuscript received 8 March 2015; published 23 March 2015)

$$I_A = G_0 V + G_1 V^2 + M_1 V \theta$$

(a) $\varepsilon_d = 0.2\Delta$

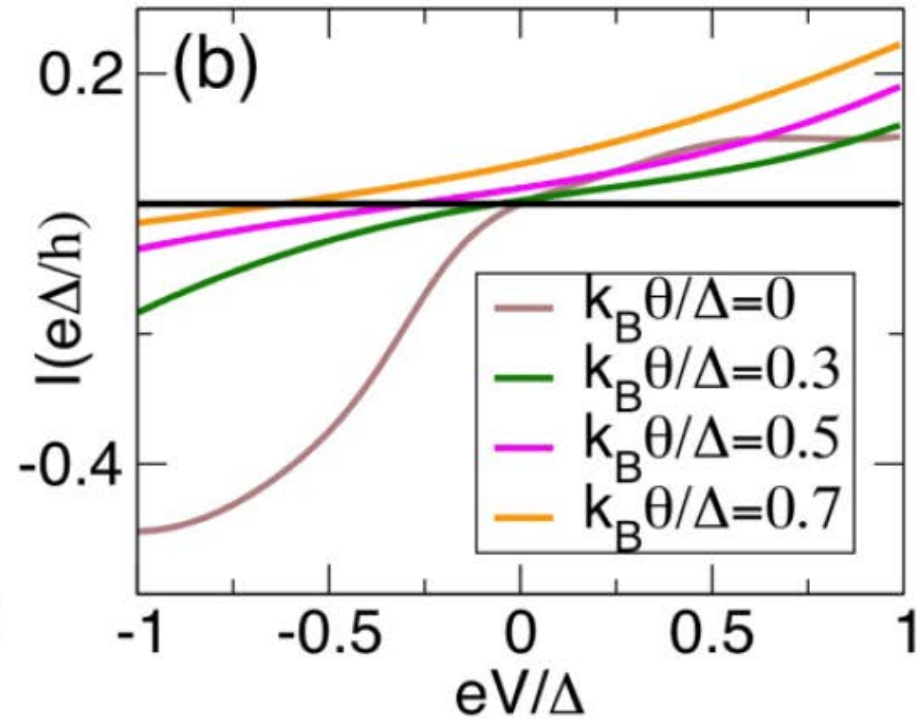
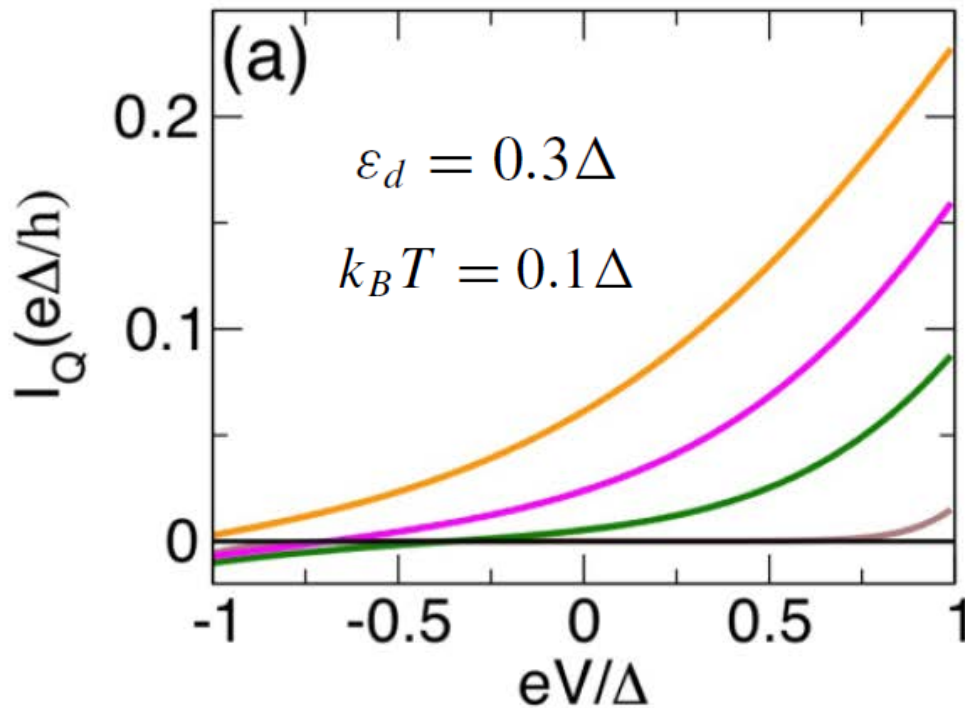
(b) $\varepsilon_d = 0.7\Delta$

$k_B T = 0.1\Delta$

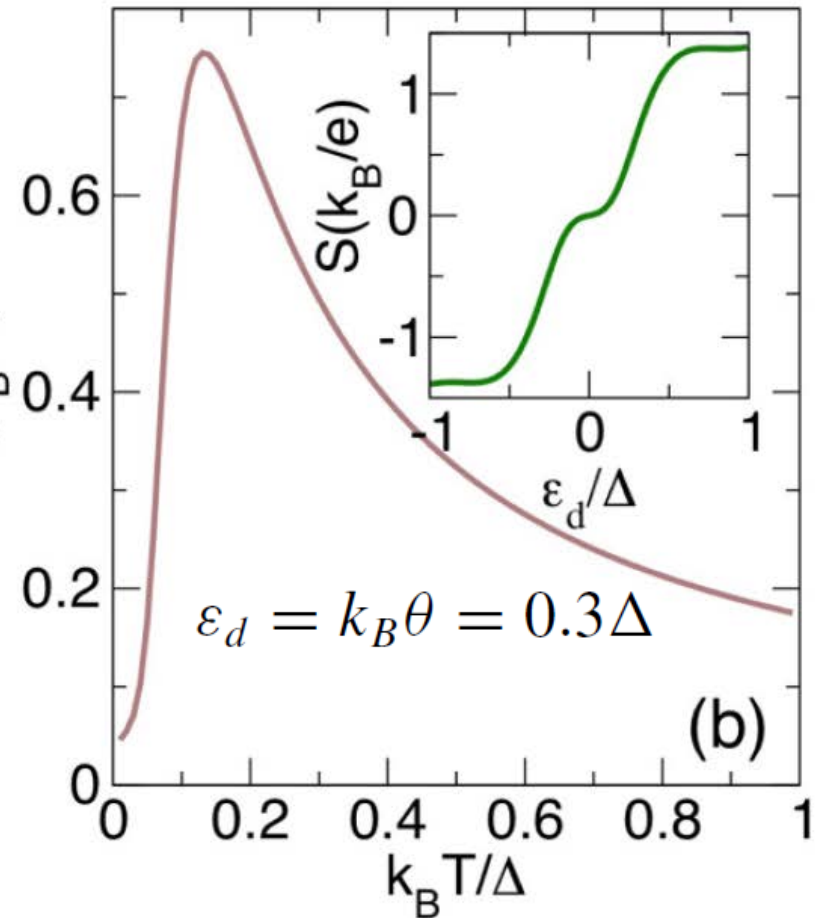
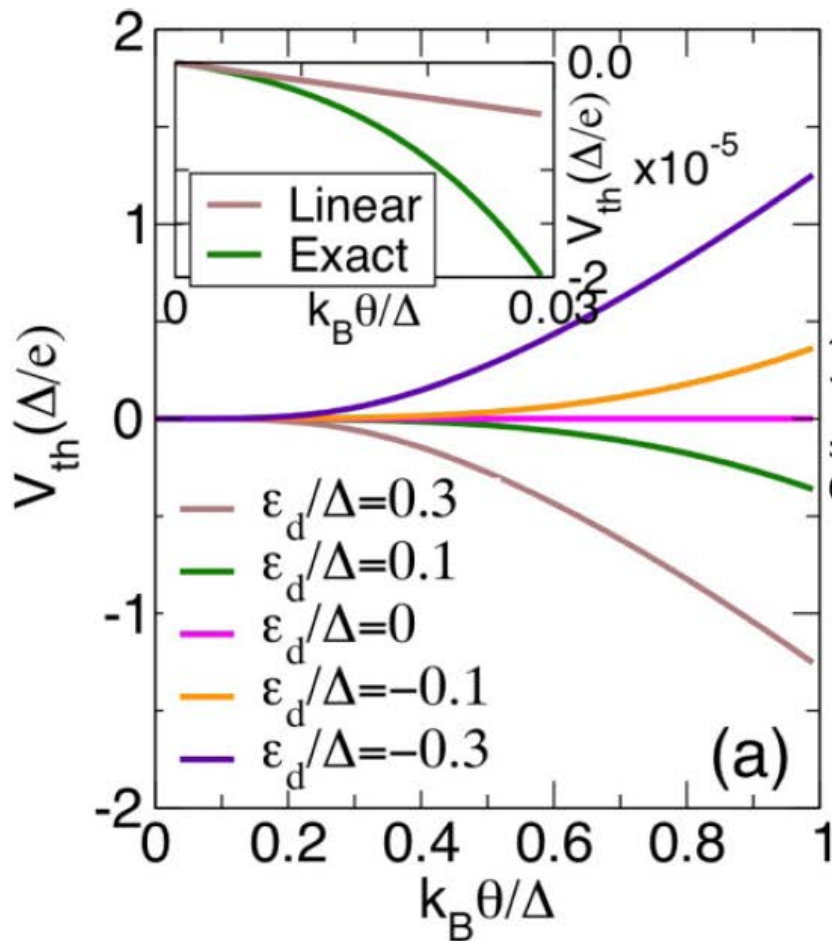


(a) Quasiparticle Current

(b) Total Current



Hwang, Lopez, and Sanchez, Phys. Rev. B **91**, 104518 (2015).



Inset of (b)

$$k_B T = 0.1 \Delta$$

$$k_B \theta = 0.3 \Delta$$

Hwang, Lopez, and Sanchez, Phys. Rev. B **91**, 104518 (2015).

Conclusion

- **NS hybrid junctions are poor thermoelectric devices at low bias due to particle-hole symmetry**
- **Andreev processes cancel linear Seebeck effects and a nonlinear treatment of thermopower is called for**
- **Electric current through N-QD-S can be manipulated with a thermal bias using a unique cross coupling that arises only in the nonlinear regime of transport**
- **High thermovoltages can be created due to quasiparticle tunneling for moderate thermal gradient**
- **Observed thermoelectric effect can appear with all relevant energy scales well below the superconducting gap**